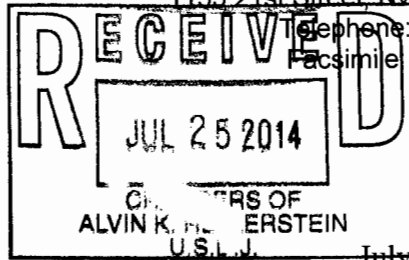




Division of  
Enforcement

**U.S. COMMODITY FUTURES TRADING COMMISSION**

Three Lafayette Centre  
1155 21st Street, NW, Washington, DC 20581  
Telephone: (202) 418-5335  
Facsimile: (202) 418-5987



July 24, 2014

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DATE FILED: 7/29/14

**By Overnight Delivery**

Hon. Judge Alvin K. Hellerstein  
Room 1050  
United States Courthouse  
500 Pearl Street  
New York, NY 10007

*The settlement conference will be  
held at 2:30 pm on August 19, 2014  
in Courtroom 14 D.*  
*Alvin K. Hellerstein* 7-29-14

**Re: CFTC v. Royal Bank of Canada, Case No. 12-Civ-2497 (AKH/KNF)**

Dear Judge Hellerstein:

Plaintiff Commodity Futures Trading Commission and Defendant Royal Bank of Canada write to request a settlement conference with the Court in the above matter, at the Court's earliest convenience. The parties have conferred and have agreed that neither party will seek to disqualify Your Honor from presiding in this case based on Your Honor's participation in the settlement conference.

The parties also jointly spoke with the Court's staff and understand that the Court has scheduling availability for a conference on August 19, 2014. If that date is acceptable to the Court, the parties are available. The parties are also available on August 20 and 21, 2014, if those dates are more convenient for the Court. Finally, the parties were advised that the Court is unavailable for a settlement conference the week of August 11, 2014; should the Court's schedule change the parties will make every effort to be available that week.

This matter is currently scheduled for trial on November 3, 2014. The final pre-trial conference is scheduled for October 22, 2014. All pre-trial filings are due October 20, 2014. (Dkt. No. 107.) There are no other hearings or deadlines scheduled in this matter.

Respectfully Submitted,

/s/ David Slovic

David Slovic

/s/ Ted Helwig

Ted Helwig

Hon. Judge Alvin K. Hellerstein  
July 24, 2014  
Page 2

One of the Attorneys for Plaintiff  
Commodity Futures Trading  
Commission

One of the Attorneys for Defendant  
Royal Bank of Canada

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